

10/02/01
JC904 U.S. PRO

10-04-01

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Our Docket No.: 1194.12-0002	Date: October 2, 2001
First Named Inventor: Kuldeep K. Dhar et al.	
Title: OBJECT BASED WORKFLOW SYSTEM AND METHOD	
Express Mail No.: EL800726245US	

1046 U.S. PRO
09/970312
10/02/01

APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages **[44]**
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed. Sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets **[10]**
5. ☒ Oath or Declaration Total Pages **[2]**
 - a. ☒ Newly Executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

[Mark Box 5 below]
I. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
 - a. ☐ Computer Readable Copy (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies; or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

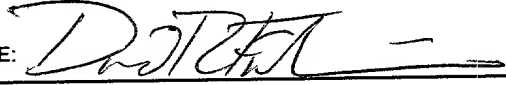
9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
11. ☒ Power of Attorney
12. ☐ English Translation Document (*if applicable*)
13. ☒ Information Disclosure Statement with copies of Citations as necessary Total Pages **[]**
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (*Should be specifically itemized*)
16. ☐ Certified Copy of Priority document(s) (*if foreign priority is claimed*)
17. ☐ Request and Certification under 35 U.S.C.122(b)(2)(B)(i). (Nonpublication Request)
18. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner Group Art Unit
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	David R. Fairbairn Reg. No. 26,047	SIGNATURE: 
ADDRESS	Kinney & Lange, P.A. THE KINNEY & LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002	
TELEPHONE	(612) 339-1863	FAX: (612) 339-6580

FEE TRANSMITTAL	Complete if Known	
	Application No.	
	Filing Date	October 2, 2001
	First Named Inventor	Kuldeep K. Dhar
	Group Art Unit	
	Examiner Name	
Total Amount of Payment \$830.00	Atty. Docket Number	1194.12-0002

METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account <u>No.11-0982</u>.</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed</p>	<p>3. ADDITIONAL FEES</p> <table style="width:100%; font-size: 0.7em;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td>*</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>*</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>*</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td>*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>*</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td>*</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td>*</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td>*</td></tr> <tr><td>128</td><td>1,890</td><td>280</td><td>945</td><td>Extension for reply within fifth month</td><td>*</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>*</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>*</td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Terminal Disclaimer Fee</td><td>*</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td>*</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td>*</td></tr> <tr><td>142</td><td>1,270</td><td>242</td><td>650</td><td>Utility/Reissue issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee (inc. advance copies)</td><td>*</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td>*</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td>*</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td>*</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>X</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td>*</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (3) \$40.00</td><td></td></tr> </tbody> </table>	Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - Late filing fee or oath	*	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*	139	130	139	130	Non-English specification	*	147	2,520	147	2,520	For Filing a Request for Reexamination	*	115	110	215	55	Extension for reply within first month	*	116	390	216	195	Extension for reply within second month	*	117	890	217	445	Extension for reply within third month	*	118	1,390	218	695	Extension for reply within fourth month	*	128	1,890	280	945	Extension for reply within fifth month	*	120	310	220	155	Filing a brief in support of an appeal	*	121	270	221	135	Request for oral hearing	*	148	110	248	55	Terminal Disclaimer Fee	*	140	110	240	55	Petition to revive - unavoidable	*	141	1,240	241	620	Petition to revive - unintentional	*	142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*	143	440	243	220	Design issue fee (inc. advance copies)	*	122	130	122	130	Petitions to the Commissioner	*	123	50	123	50	Petitions related to provisional applications	*	126	180	126	180	Submission of Information Disclosure Statement	*	581	40	581	40	Recording each patent assignment per property (times number of properties)	X	Other fee (specify) _____					*	Subtotal (3) \$40.00					
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Signature David R. Fairbairn Reg. No. 26,047

Date 10/2/01 Deposit Account No. 11-0982